

#4 2874
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PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: MIKE E. HAMERLY

Serial No.: 09/771,757

Filed: 01/29/2001

For: OPTICAL SWITCH BASED ON
ROTATING VERTICAL
MICRO-MIRROR

Group Art Unit: 2874

Docket No. 56132USA9A

Commissioner for Patents
Washington, D.C. 20231

I CERTIFY THAT, ON JUNE 28, 2001, THIS PAPER IS BEING
DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN
AN ENVELOPE ADDRESSED TO THE COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231.

Ramona A. Ozols

INFORMATION DISCLOSURE STATEMENT

In compliance with the duty imposed by 37 C.F.R. 1.56, and in accordance with
C.F.R. sections 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the
Examiner as possibly being of interest in connection with the above-identified patent application.
Consideration of each of the documents listed on the attached 1449 form(s) is respectfully requested.
The filing of this information disclosure statement shall not be construed to be an admission that the
information cited in the statement is, or is construed to be, prior art to the present application.
Pursuant to the provisions of M.P.E.P. 609, Applicants further request that a copy of the 1449
form(s), marked as being considered and initialed by the Examiner, be returned with the next Official
Communication.

No fee is deemed necessary for the entry of this Information Disclosure
Statement. Should any fee be required, the Commissioner is authorized to charge our
Deposit Account No. 06-0029 and notify us of the same.

Respectfully Submitted,

Dated: June 28, 2001

MIKE E. HAMERLY et al.

By:

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Patent and Trademark Office

Atty. Docket No.

Serial No.

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Applicant

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01/29/2001

2874

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date MM/DD/YY	Name	Class	Subclass	Filing Date If Appropriate
BW H	4,954,789	09/04/1990	Sampsell	330	4.3	
BW H	4,975,729	12/04/1990	Gordon	355	1	
BW H	5,024,500	06/18/1991	Stanley et al.	385	17X	
BW H	5,037,173	08/06/1991	Sampsell et al.	385	17	
BW H	5,109,459	04/28/1992	Eibert et al.	385	115	
BW H	5,208,880	05/04/1993	Riza et al.	385	18	
BW H	5,528,710	06/18/1996	Burton et al.	385	16	
BW H	5,661,611	08/26/1997	Kim et al.	359	871	
BW H	5,646,768	07/08/1997	Kaeriyama	359	224	
BW H	5,646,928	07/08/1997	Wu et al.	369	122	
BW H	5,652,671	07/29/1997	Knipe et al.	359	291	
BW H	5,745,271	04/28/1998	Ford et al.	359	130	
BW H	5,748,811	05/05/1998	Amersfoort et al.	385	15	
BW H	5,768,006	06/16/1998	Min et al.	359	290	
BW H	5,774,604	06/30/1998	McDonald	385	18	
BW H	5,786,915	07/28/1998	Scobey	359	127	
BW H	5,805,331	09/08/1998	Lee	259	295	

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_____	0 569 187 A1	11/10/1993	EP	_____	_____	Yes
_____	0 672 931 A1	09/20/1995	EP	_____	_____	Yes
_____	0 713 117 A1	05/22/1996	EP	_____	_____	Yes
_____	0 762 161 A1	03/12/1997	EP	_____	_____	No
_____	0 771 121 A2	10/25/1997	EP	_____	_____	Yes
_____	0 783 124 A1	07/09/1997	EP	_____	_____	Yes
_____	0 794 558 A1	09/10/1997	EP	_____	_____	Yes

OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS
(Including Author, Title, Date, Pertinent Pages, Etc.)

See pages 5-6 section entitled "Other Prior Art - Non Patent Documents"
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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BWH	5,818,623	10/06/1998	Valette et al.	359	224	
BWH	5,862,003	01/19/1999	Saif et al.	354	871	
BWH	5,872,880	02/16/1999	Maynard	385	88	
BWH	5,877,889	03/02/1999	Um et al.	385	295	
BWH	5,878,177	03/02/1999	Karasan et al.	385	17	
BWH	5,886,811	03/23/1999	Min	385	291	
BWH	5,900,998	05/04/1999	Kim et al.	359	871	
BWH	5,903,383	05/11/1999	Bernstein et al	359	298	
BWH	5,914,801	06/22/1999	Dhuler et al.	359	230	
BWH	5,914,803	06/22/1999	Hwang et al.	359	291	
BWH	5,923,798	07/13/1999	Aksyuk et al.	385	19	
BWH	5,936,757	08/10/1999	Kim et al.	359	224	
BWH	5,943,158	08/24/1999	Ford et al.	359	295	
BWH	5,959,376	09/28/1999	Allen	310	40 MM	
BWH	5,959,749	09/28/1999	Danagher et al.	359	124	
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_____	0 911 659 A1	04/28/1999	EP			Yes
_____	0 932 066 A1	07/28/1999	EP			Yes
_____	0 961 150 A2	12/01/1999	EP			Yes
_____	0 962 796 A2	12/08/1999	EP			Yes
_____	96/07945	03/14/1996	WO			Yes
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BWT	5,963,367	10/05/1999	Aksyuk et al.	359	392	
BWT	5,966,230	10/12/1999	Swartz et al.	359	196	
BWT	5,974,207	10/26/1999	Aksyuk et al.	385	24	
BWT	5,982,554	11/09/1999	Goldstein et al.	359	629	
BWT	5,994,159	11/30/1999	Aksyuk et al.	438	52	
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BWT	6,014,240	01/11/2000	Floyd et al.	359	201	
BWT	6,016,217	01/18/2000	Dötzel et al.	359	292	
BWT	6,020,272	02/01/2000	Fleming	438	734	
BWT	6,028,689	02/22/2000	Michalick et al.	359	224	
BWT	6,031,946	02/29/2000	Bergmann et al.	385	18	
BWT	6,035,080	03/07/2000	Henry et al.	385	24	
BWT	6,044,056	03/28/2000	Wilde et al.	369	119	
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_____	96/18131	06/13/1996	WO	_____	_____	Yes
_____	97/06617	02/20/1997	WO	_____	_____	Yes
_____	98/07060	02/19/1998	WO	_____	_____	Yes
_____	98/35258	08/13/1998	WO	_____	_____	Yes
_____	99/38348	07/29/1999	WO	_____	_____	Yes
_____	00/13210	03/09/2000	WO	_____	_____	Yes

OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS

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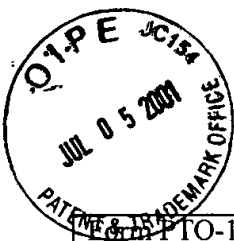
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<input checked="" type="checkbox"/>	Mossman et al., "New Reflective Display Based on Total Internal Reflection in Prismatic Microstructures".
<input checked="" type="checkbox"/>	Lin et al., "Lightwave Micromachines for Optical Crosseconnects".
<input checked="" type="checkbox"/>	Zou et al., "Optical Properties of Surface Micromachined Mirrors with Etch Holes", 8 Journal of Microelectrical Systems 506-513 (1999) (Abstract).
<input checked="" type="checkbox"/>	Kopka et al., "Coupled U-Shaped Cantilever Actuators for 1 x 4 and 2 x 2 Optical Fibre Switches", 10 J. Micromech. Microeng. 260-264 (2000).
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